

Docket No.: P2001,0463

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : HERBERT BRUNNER ET AL.
Filed : CONCURRENTLY HEREWITH
Title : SURFACE-MOUNTABLE RADIATION-EMITTING COMPONENT
AND METHOD OF PRODUCING SUCH A COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,051,848 (Webb), dated April 18, 2000;

U.S. Patent No. 5,942,770 (Ishinaga et al.), dated August 24, 1999;

U.S. Patent No. 5,682,066 (Gamota et al.), dated October 28, 1997;

German Published Non-Prosecuted Patent Application DE 38 04 293 A1 (Müller et al.), dated August 24, 1989 and English abstract thereof;

German Published Non-Prosecuted Patent Application DE 196 49 650 A1 (Brunner), dated June 4, 1998 and corresponding U.S. Patent No. 5,981,979 (Brunner), dated November 9, 1999;

German Published Non-Prosecuted Patent Application DE 199 63 806 A1 (Jäger et al.), dated July 19, 2001 and corresponding U.S. Patent Application Publication No.

2002/0195935 A1 (Jäger et al.), dated December 26, 2002 and corresponding PCT WO 01/50540 A1 (Jäger et al.), dated July 12, 2001;

German Published Non-Prosecuted Patent Application DE 196 38 667 A1 (Schlotter et al.), dated April 2, 1998 and corresponding U.S. Patent No. 6,066,861 (Höhn et al.), dated May 23, 2000 and corresponding PCT WO 98/12757 (Höhn et al.), dated March 26, 1998;

Patent Abstracts of Japan 11346005 A (Masashi et al.), dated December 14, 1999;

Patent Abstracts of Japan 07169893 (Eiji), dated July 4, 1995;

Patent Abstracts of Japan 06204569 (Hideo), dated July 22, 1994;

Patent Abstracts of Japan 57169281 (Shunichi), dated October 18, 1982;

Patent Abstracts of Japan 11346008 A (Masashi et al.), dated December 14, 1999;

Patent Abstract of Japan 3-270083 A (Mochizuki), dated December 2, 1991;

Patent Abstracts of Japan 2000077720 A (Hideo et al.), dated March 14, 2000;

Patent Abstract of Japan 06065473 (Masaaki), dated March 8, 1994;

European Patent Application EP 0 646 971 A2, A3 and B1 (Waitl et al.), dated April 5, 1995;

European Patent Application EP 0 400 175 A1 (Waitl et al.), dated December 5, 1990 and corresponding U.S. Patent No. 5,035,483 (Waitl et al.), dated July 30, 1991;

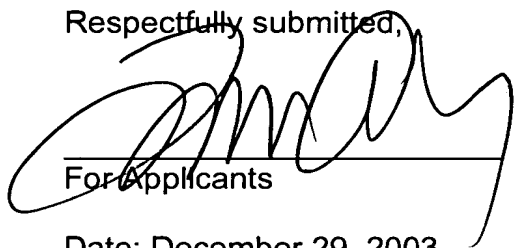
PCT WO 98/54929 (Vriens et al.), dated December 3, 1998;

PCT WO 97/50132 (Reeh et al.), dated December 31, 1997;

International Search Report, dated November 15, 2002.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant. As per the Notice in 1273 OG 55 (August 5, 2003) no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Respectfully submitted,



For Applicants

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Date: December 29, 2003

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/kf

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Attorney Docket No.: P2001,0463 Appl. No.: _____ Applicant: HERBERT BRUNNER ET AL. Filing Date: December 29, 2003 Group Art Unit: _____			
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EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	6,051,848	4/18/00	Webb		
	B	5,942,770	8/24/99	Ishinaga et al.		
	C	5,682,066	10/28/97	Gamota et al.		
	D	5,981,979	11/9/99	Brunner		
	E	2002/0195935 A1	12/26/02	Jager et al.		
	F	6,066,861	5/23/00	Höhn et al.		
	G	5,035,483	7/30/91	Waitl et al.		
	H					
	I					

FOREIGN PATENT DOCUMENT							
DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO		
J	38 04 293 A1	8/24/89	Germany				
K	196 49 650 A1	6/4/98	Germany				
L	199 63 806 A1	7/19/01	Germany				
M	01/50540 A1	7/12/01	WIPO				
N	196 38 667 A1	4/2/98	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	98/12757	3/26/98	WIPO			
	K	11346005 A	12/14/99	Japan			
	L	07169893	7/4/95	Japan			
	M	06204569	7/22/94	Japan			
	N	57169281	10/18/82	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
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FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	11346008 A	12/14/99	Japan			
	K	3-270083 A	12/2/91	Japan			
	L	2000077720 A	3/14/00	Japan			
	M	06065473	3/8/94	Japan			
	N	0 646 971 A2	4/5/95	Europe			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	0 400 175 A1	12/5/90	Europe			
	K	98/54929	12/3/98	WIPO			
	L	97/50132	12/31/97	WIPO			
	M						
	N						
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